

# Counter Examples for Lemma 3.18 Of [1]

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## Abstract

In proof of Lemma 3.18 in [1] it is claimed that, at least one of the eigenvalues of Gramian matrix  $G_{11}$  has distance  $\frac{\eta}{(n-1)}$  from  $\{0,1\}$ . In this paper, we show by two counter examples that this claim is incorrect and we say state correct it with proof it.

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## 1. Introduction

In this paper we let,  $H$  is a separable Hilbert space with dimension  $d$ , and  $n$  be a countable index set that  $(n, d) = 1$ .

**Definition 1.1.** [2] A family of vectors  $F = \{f_j\}_{j \in J}$  is a frame for a Hilbert space  $H$  if there are constants  $0 < A \leq B < \infty$  such that for all  $f \in H$

$$A\|f\|^2 \leq \sum_{j \in J} |\langle f, f_j \rangle|^2 \leq B\|f\|^2, \forall f \in H.$$

We call  $A$  and  $B$  the frame bounds. If we can choose  $A = B$  then  $F$  is a  $A$ -tight frame and if  $A = B = 1$ , it is a parseval frame. If all the frame vectors have the same norm, it is an equal-norm frame. We call  $A$  and  $B$  the frame bounds. If  $F = \{f_j\}_{j \in J}$  possesses an upper frame bound, but not necessarily a lower bound, we call it is a Bessel sequence with Bessel bound  $A$ . The analysis operator of the frame is the map

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$$V: H \rightarrow l^2(J)$$

$$V(f) := \{ \langle f, f_j \rangle \}_{j \in J}.$$

Its adjoint is the synthesis operator which maps  $a \in l^2(J)$

$$V^*: l^2(J) \rightarrow H$$

$$V^*(f) := \sum_{j \in J} a_j f_j.$$

The frame operator is the positive, self-adjoint invertible operator

$$S: H \rightarrow H, \quad Sf = V^*Vf = \sum_{j \in J} \langle f, f_j \rangle f_j.$$

The Grammian is the matrix  $G$  with entries  $G_{j,k} = \langle f_j, f_k \rangle$  so that  $G_{j,k} = (VV^*)_{k,j}, k, j \in \{1, \dots, n\}$ .

**Definition 1.2.** A frame  $\{f_j\}_{j=1}^n$  for a  $d$ -dimensional real or complex Hilbert space  $H$  is  $\epsilon$ -nearly equal-norm with constant  $c$  if

$$(1 - \epsilon)c \leq \|f_j\| \leq (1 + \epsilon)c, \quad \forall j \in \{1, 2, \dots, n\}.$$

Definition 1.3. [1] Let  $n, d \in \mathbb{N}$  be relatively prime

$$\eta = \min_{\substack{n_1 < n \\ d_1 < d}} \left| \frac{d}{n} - \frac{d_1}{n_1} \right|. \quad (1.1)$$

Example 1.4. Let  $d = 3, n = 5$  then

$$d_1 = \{1, 2\}, \quad n_1 = \{1, 2, 3, 4\},$$

$$\frac{d_1}{n_1} = \left\{ 1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, 2, \frac{2}{3} \right\},$$

$$\eta = \min \left\{ \left| \frac{d}{n} - \frac{d_1}{n_1} \right| \right\} = \min \left\{ \left| \frac{3}{5} - \frac{d_1}{n_1} \right| \right\} = \min \left\{ \frac{2}{5}, \frac{1}{10}, \frac{4}{15}, \frac{7}{20}, \frac{7}{6} \right\} = \frac{1}{10}.$$

## 2. Counter Examples

At least one of the eigenvalues of Grammian matrix  $G_{11}$  has distance  $\frac{\eta}{n-1}$  from  $\{0, 1\}$ , by the definition of distance between a point and set is equivalent to this claim, at least for one eigenvalue ( $\lambda$ ) of eigenvalues related Grammian matrix  $G_{11}, \lambda = \frac{\eta}{n-1}$  or  $1 - \lambda = \frac{\eta}{n-1}$ .

**Initial Example Counter 2. 1.** We let  $\dim H = 2$  and number of vector frame  $n = 3$ . Let frame  $F = \{F_1, F_2, F_3\}$  in Hilbert space  $H$ , that

$$F_1 = \left( \sqrt{\frac{2}{3}}, 0 \right), \quad F_2 = \left( \frac{-1}{\sqrt{6}}, \frac{1}{\sqrt{2}} \right), \quad F_3 = \left( \frac{-1}{\sqrt{6}}, \frac{-1}{\sqrt{2}} \right)$$

We first show that  $F$  is Parseval frame, Since for arbitrary vector  $f = \{f_1, f_2\}$  in  $H$ ,

$$|\langle f, F_1 \rangle|^2 = \frac{2}{3}f_1^2, \quad |\langle f, F_2 \rangle|^2 = \frac{1}{6}f_1^2 + \frac{1}{2}f_2^2 - \frac{1}{\sqrt{2}\sqrt{6}}f_1f_2,$$

and

$$|\langle f, F_3 \rangle|^2 = \frac{1}{6}f_1^2 + \frac{1}{2}f_2^2 + \frac{1}{\sqrt{2}\sqrt{6}}f_1f_2,$$

thus

$$\sum_{n=1}^3 |\langle f, F_n \rangle|^2 = f_1^2 + f_2^2 = \|f\|^2.$$

Thus  $F$  is a Parseval frame.

We procure for  $n = 3$  and  $d = 2$ ,  $\eta$  at method under

$$d_1 = \{1\}, \quad N_1 = \{1, 2\},$$

thus

$$\eta = \min \left\{ \frac{1}{3}, \frac{1}{6} \right\} = \frac{1}{6},$$

Therefore  $\frac{\eta}{n-1} = \frac{1}{12}$ .

Then constitute the Grammian matrix  $G$  relative to Parseval frame  $F$ ,

$$G = \begin{bmatrix} \frac{2}{3} & \frac{-1}{3} & \frac{-1}{3} \\ \frac{-1}{3} & \frac{2}{3} & \frac{-1}{3} \\ \frac{-1}{3} & \frac{-1}{3} & \frac{2}{3} \end{bmatrix}$$

And we form the corresponding blocks in the Grammian so that,  $G_{11}$  is a Hermitian matrix and  $G_{12}^* = G_{21}$

$$G = \begin{bmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{bmatrix}$$

We only can consider two states for block  $G_{11}$

(1)  $G_{11} = \left[ \frac{2}{3} \right]$  is block  $1 \times 1$ , thus  $\lambda(G_{11}) = \frac{2}{3}$ , it is clear that  $1 - \frac{2}{3} = \frac{1}{3}$  and  $\frac{2}{3}$  are opposite to  $\frac{1}{12}$ .

(2)  $G_{11}$  is block  $2 \times 2$  at form under

$$G_{11} = \begin{bmatrix} \frac{2}{3} & \frac{-1}{3} \\ \frac{-1}{3} & \frac{2}{3} \end{bmatrix},$$

It is clear that, eigenvalues of  $G_{11}$  are equal to  $1, \frac{1}{3}$ . If  $\lambda(G_{11}) = 1$  it is clear that  $1, 1-1$  are opposite to  $\frac{1}{12}$ , if  $\lambda(G_{11}) = \frac{1}{3}$  it is clear that  $1 - \frac{1}{3} = \frac{2}{3}$  and  $\frac{1}{3}$  are opposite to  $\frac{1}{12}$ .

Thus in two above states, we observed that none of eigenvalues relative to  $G_{11}$  not have distance  $\frac{\eta}{n-1} = \frac{1}{12}$  of set  $\{0, 1\}$ .

**Initial Example counter 2. 2.** We let  $\dim H = 3$  and number of vector frame  $n = 4$ . Let frame  $F = \{F_1, F_2, F_3, F_4\}$  in Hilbert space  $H$ , that

$$F_1 = \left( \frac{-1}{2}, \frac{1}{2}, \frac{1}{2} \right), \quad F_2 = \left( \frac{1}{2}, \frac{-1}{2}, \frac{1}{2} \right),$$

$$F_3 = \left( \frac{1}{2}, \frac{1}{2}, \frac{-1}{2} \right), \quad F_4 = \left( \frac{-1}{2}, \frac{-1}{2}, \frac{-1}{2} \right)$$

It is clear that  $F$  is a Parseval frame for Hilbert space  $H$ .

We procure for  $n=4$  and  $d=3$ ,  $\eta = \frac{1}{12}$  and  $\frac{\eta}{n-1} = \frac{1}{36}$ . Then constitute the Grammian matrix  $G$  relative to Parseval frame  $F$ ,

$$G = \begin{bmatrix} \frac{3}{4} & \frac{-1}{4} & \frac{-1}{4} & \frac{-1}{4} \\ \frac{-1}{4} & \frac{3}{4} & \frac{-1}{4} & \frac{-1}{4} \\ \frac{-1}{4} & \frac{-1}{4} & \frac{3}{4} & \frac{-1}{4} \\ \frac{-1}{4} & \frac{-1}{4} & \frac{-1}{4} & \frac{3}{4} \end{bmatrix}$$

Similar to before, we form the corresponding blocks in the Grammian  $G$  so that  $G_{11}$  is a Hermitian matrix, we only can consider three states for block  $G_{11}$

(1)  $G_{11} = \left[ \frac{3}{4} \right]$  is block  $1 \times 1$  thus  $\lambda(G_{11}) = \frac{3}{4}$ . It is clear that  $\frac{3}{4}$  and  $1 - \frac{3}{4} = \frac{1}{4}$  are opposite to  $\frac{1}{36}$ .

(2)  $G_{11}$  is block  $2 \times 2$  at form under

$$G_{11} = \begin{bmatrix} \frac{3}{4} & \frac{-1}{4} \\ \frac{-1}{4} & \frac{3}{4} \end{bmatrix},$$

It is clear that, eigenvalues of  $G_{11}$  are equal to  $1, \frac{1}{2}$ . If  $\lambda(G_{11}) = 1$  it is clear that  $1, 1-1$  are opposite to  $\frac{1}{36}$ . If  $\lambda(G_{11}) = \frac{1}{2}$  it is clear that  $1 - \frac{1}{2} = \frac{1}{2}$  and  $\frac{1}{2}$  are opposite to  $\frac{1}{36}$ .

(3)  $G_{11}$  is block  $3 \times 3$  at form under

$$G_{11} = \begin{bmatrix} \frac{3}{4} & \frac{-1}{4} & \frac{-1}{4} \\ \frac{-1}{4} & \frac{3}{4} & \frac{-1}{4} \\ \frac{-1}{4} & \frac{-1}{4} & \frac{3}{4} \end{bmatrix},$$

It is clear that, eigenvalues of  $G_{11}$  are equal to  $\lambda_1 = \lambda_2 = 1, \lambda_3 = \frac{1}{4}$ . If  $\lambda(G_{11}) = 1$  it is clear that  $1, 1-1$  are opposite to  $\frac{\eta}{n-1} = \frac{1}{36}$ . If  $\lambda(G_{11}) = \frac{1}{4}$  it is clear that  $1 - \frac{1}{4} = \frac{3}{4}$  and  $\frac{1}{4}$  are opposite to  $\frac{\eta}{n-1} = \frac{1}{36}$ .

Thus in three above states, we observed that none of eigenvalues relative to  $G_{11}$  not have distance  $\frac{\eta}{n-1} = \frac{1}{36}$  of set  $\{0,1\}$ .

### 3. Correction Proof Of Lemma 3. 18

In this section, we show by the using of information Lemma 3.18 in [1] that, at least one of the eigenvalues of Grammian matrix  $G_{11}$  has distance  $\frac{\eta}{(n-1)}$  from  $\{0,1\}$  or equivalently, at least for one eigenvalue ( $\lambda$ ) of eigenvalues related Grammian matrix  $G_{11}, \lambda > \frac{\eta}{n-1}$  or  $1 - \lambda > \frac{\eta}{n-1}$ .

**Lemma 3.1.** Let  $n \geq 2, \eta$  as defined above, and let  $F = \{f_j\}_{j=1}^n$  be a Parseval frame for a  $d$ -dimensional Hilbert space, then the variance of the random variable  $W: C \mapsto W(F^{(C)})$  on the torus  $T^n$  equipped with the uniform probability measure  $\sigma_n$  is bounded below by

$$\frac{16\eta}{(n-1)^7} (U(F))^2 \leq \int_{T^n} (W(F^{(C)}))^2 d\sigma_n.$$

**Corollary 3.2.** Let  $n \geq 2, \eta$  as defined above, and let  $F = \{f_j\}_{j=1}^n$  be a Parseval frame for a  $d$ -dimensional Hilbert space, if  $G$  be Grammian matrix related to  $F$  that blocked at form

$$G = \begin{bmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{bmatrix}$$

Then at least one of the eigenvalues of Grammian matrix  $G_{11}$  has distance  $\frac{\eta}{(n-1)}$  from  $\{0,1\}$  or equivalently, at least for one eigenvalue ( $\lambda$ ) of eigenvalues related Grammian matrix  $G_{11}, \lambda > \frac{\eta}{n-1}$  or  $1 - \lambda > \frac{\eta}{n-1}$ .

**Proof.** Since  $G$  is a Grammian matrix therefore  $G$  is a positive definite matrix [3], thus  $G_{11} \geq 0$ . Since  $G$  is a Grammian matrix therefore  $G$  is a Hermitian matrix thus  $G_{11}$  is a Hermitian matrix. We now arrange eigenvalues of  $G$  and  $G_{11}$

$$0 \leq \lambda_{\min}(G) \leq \lambda_{\min}(G_{11}) \leq \dots \leq \lambda_{\max}(G_{11}) \leq \lambda_{\max}(G),$$

and since  $G_{11}$  is a Hermitian matrix, thus

$$0 \leq \lambda_{\min}(G_{11})x^*x \leq x^*G_{11}x \leq \lambda_{\max}(G_{11})x^*x \leq 1x^*x,$$

therefore

$$x^*I x - x^*G_{11} x \geq 0$$

Thus  $G_{11} \leq I$  and consequently  $\lambda(G_{11}) \in [0, 1]$ . If  $(n, d) = 1$  and the vectors are sufficiently near equal- norm, then the diagonal entries of  $G_{11}$  are close to  $\frac{d}{n}$  and summing them does not give an integer. Therefore, not all eigenvalues are 0 or 1 or equivalently there is at least one eigenvalue ( $\lambda$ ) related to  $G_{11}$  in  $(0, 1)$ . Since in proof of lemma 3. 18 in [1] argue on function  $\lambda(1 - \lambda)$  and the function  $\lambda \rightarrow \lambda(1 - \lambda)$  is bounded below by  $\lambda \rightarrow \frac{\lambda}{2}$  on  $[0, \frac{1}{2}]$  and by  $\lambda \rightarrow \frac{1}{2} - \frac{\lambda}{2}$  on  $[\frac{1}{2}, 1]$ . Thus without lessening of totality, we let  $\lambda \in [0, \frac{1}{2}]$ . Since  $n \geq 2$  it is clear that  $\frac{\eta}{n-1} < \frac{1}{2}$ . Now consider 2 state for  $\lambda$  and  $\frac{\eta}{n-1}$ ,

$$\lambda > \frac{\eta}{n-1} \tag{3.1}$$

and

$$\lambda < \frac{\eta}{n-1} \Rightarrow 1 - \lambda > \frac{\eta}{n-1} \quad (3.2)$$

By relation (3.1) and (3. 2), we gives

$$\min\{\lambda, 1 - \lambda\} > \frac{\eta}{n-1} .$$

## References

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